

S.A. 16 (7/07)

AGENT MULTIPLE EMPLOYMENT
AGREEMENT

(Print/Type Agent's name and CRD Number)

Pursuant to the requirements of 21 VAC 5-20-95 of the Virginia Administrative Code, an agent may be employed by more than one registered broker-dealer. In order to comply with the provisions of the regulation, each broker-dealer identified below consents to the multiple employment of the agent and agrees to be responsible for the employment activity of the agent on behalf of each undersigned broker-dealer.

Broker-Dealer Name/CRD# (Type/Print)

By: _____
Signature

Name of Signer (Type/Print)

Title of Signer (Type/Print)

Date

Broker-Dealer Name/CRD# (Type/Print)

By: _____
Signature

Name of Signer (Type/Print)

Title of Signer (Type/Print)

Date