Commonwealth of Virginia Department of Professional and Occupational Regulation 9960 Mayland Drive, Suite 400 Richmond, Virginia 23233-1485 (804) 367-8511 www.dpor.virginia.gov



Board for Contractors CHANGE IN LICENSE CLASS APPLICATION

A check or money order payable to the TREASURER OF VIRGINIA,

or a completed credit card insert must be mailed with your application package.

APPLICATION FEES ARE NOT REFUNDABLE.

FINANCIAL REQUIREMENTS: Class A & Class B Applicants

☐ Sole Proprietorship

☐ Corporation ◆

Must provide a completed Financial Statement Form (included as part of the application) OR an annual report OR a CPA reviewed/audited financial statement, as evidence of your firm's net worth. Class A applicants must provide proof of a net worth/equity of \$45,000. Class B applicants must provide proof of a net worth/equity of \$15,000. Applicants who do not meet these requirement may qualify for a Class C license.

Select the one license type requested. 2705 - Change to an existing License: Class A (from a Class B or C) 9050 \$385.00 Class B (from a Class A) 9052 \$370.00 Class B (from a Class C) 9051 \$370.00 Class C (from a Class A or B) 9053 \$235.00 Provide your <u>current</u> Virginia Contractor's license issued by the board 2 * If you currently do not hold a valid Virginia Contractor's license, you can not proceed with this application. **Business or Sole Proprietor Name** A sole proprietor should enter his/her full legal name and the company name should be entered below as the Trade/DBA name. All names must be the same as the name on your government issued ID or organization/business documents. Trade, "Doing Business As" (DBA) or Fictitious Name All Sole Proprietors and General Partnerships with DBA or Fictitious names must attach a copy of the certificate filed with the Clerk of the Court in the locality where business will be conducted (if required by the locality). Type of business entity (select only **one**) Solely Owned LLC • General Partnership Other, please specify: ☐ Limited Partnership ☐ Limited Liability Company Other: Association, Business Trust, Government Agency, Joint Venture, Limited Liability Partnership, Non Profit, Professional Corporation, Professional Limited Liability Company, or Sole Proprietor (Non-Broker Owned) (If applicable) State Corporation Commission Number: If your business is a corporation, limited liability company, or limited partnership, your business/trade name(s) must be registered with the Virginia State Corporation Commission (including all out-of-state businesses). Firm/Businesses shall be organized as business entities under the laws of the Commonwealth of Virginia or otherwise authorized to transact business in Virginia. Firm/Businesses must register any trade or fictitious names with the State Corporation Commission or the clerk of court in the county or jurisdiction where the business is to be conducted. For additional information, contact the SCC at www.scc.virginia.gov or by phone at (804) 371-9733. Provide **one** of the following identification numbers*: Business Federal Employer Identification Number (FEIN)

Enter the same identification number as used on previous applications or licenses on file with the department.

Sole Proprietor's/Individual's Social Security Number Virginia Department of Motor Vehicles Control Number

State law requires every applicant, who is not a sole proprietor or solely owned LLC, to provide a federal employer identification number. Sole proprietor or solely owned LLC who do not have a FEIN must provide a social security number or a control number issued by the Virginia Department of Motor Vehicles.

OFFICE USE ONLY	DATE	FEE	TRANS CODE	ENTITY#	ENTITY# FILE #/LICENSE #			
					2705			
BOARD USE ONLY	SO	cc	ETS	CLASS A	CLASS B	VIRGINIA	TECHNICAL	

2.

3.

4.

Federal Employer Identification Number (12-3456789)

Social Security or Virginia DMV Number (123-45-6789)

6.	The mailing address will be printed on the license.		State Zip Code
7.			Check here if Street Address is the <u>same</u> as the Mailing Address listed above.
		City	State Zip Code
8.	Contact Numbers		
	Primary Telep	ohone	Alternate Telephone Fax
9.	Email Address		
	Email addre	ss is con	onsidered a public record and will be disclosed upon request from a third party.
10.	successfully completed the appropriate business or a member of Responsible contact PSI Examination Services at 32	licens Manaç 210 Ea	e applicants are required to declare a Designated Employee who has asure examination and is either a bona fide full-time employee of the agement. If no one at your business has passed the licensure exam, east Tropicana, Las Vegas, NV 89121; www.psiexams.com ; telephone complete the following information on the individual selected to be the
	Full Name		Date of Birth
	Provide either Social Security No. or VA Exam Date	DMV C	
11.	classification/designation. (Choose from The Qualified Individual must possess t	the list he min	for which you are applying and <u>one</u> Qualified Individual for each st below.) inimum number of years of relevant experience required for the license ss C License, 3 years for a Class B License and 5 years for a Class A

License.)

+ A Qualified Individual must 1) hold a valid individual license or certification issued by the Board for Contractors, 2) hold a certificate from an accepted third party organization, or 3) successfully complete the applicable technical examination for the specialty. A list of the specialties and the QI requirements can be found in the Requirements for the Qualified Individual form. Additionally, this individual must be a full-time employee (working 30 hours or more for the business) or one of the persons listed as Responsible Management for the company.

3-Letter Codes for License Classifications and Specialty Designations

Below is a list of the license classifications and specialty designations issued by the Virginia Board for Contractors and the *three-letter code* to be entered when completing the Qualified Individual table below. At least <u>one</u> code must be chosen. A definition of the type of work that each of these classifications and designations may perform is available in the *Board for Contractors Regulations*. A license may have more than one classification or specialty designation.

AES	Alternative energy systems	EMC	Equipment/machinery	MCC	Marine facility
ASB	Asbestos		Farm improvement	BRK	Masonry
ASC	Accessibility Services	FAS	Fire alarm systems	NGF	Natural gas fitting provider *
ASL	Accessibility Services with LULA	SPR	Fire sprinkler *	PTC	Painting & wall covering
PAV	Asphalt paving & seal coating	FSP	Fire suppression	PLB	Plumbing
BSC	Billboard/sign	GFC	Gas fitting	RBC	Residential Building
BEC	Blast/explosive *	H/H	Highway/heavy	REF	Refrigeration
CBC	Commercial Building	HIC	Home Improvement	RFC	Recreational facility
CIC	Commercial improvement	HVA	HVAC	RMC	Radon mitigation *
CEM	Concrete	IBC	Industrial building contracting	ROC	Roofing
ELE	Electrical	ISC	Landscape irrigation	SDS	Sewage disposal system
ESC	Electronic/communication service	LSC	Landscape services	POL	Swimming pool construction
EEC	Elevator/escalator	LAC	Lead abatement	VCC	Vessel construction
EMW	Environmental monitoring well	LPG	Liquefied petroleum gas *	WWP	Water well/pump
ENV	Environmental specialties	MHC	Manufactured home contracting *		

^{*} A copy of your *License or Certification* is required by the Board.

A.	,	applying for a Co r; with no other cla If yes, comple (skip to the ques	ssification/specia ete the following i	Ity reque	sted	for your	license	?			assification/
		* Modification to your	application fee is a	as follows	: Cla	iss A: \$	360.00**	Class B:	\$345.00**	Class C: \$2	210.00**
	N.I		' 44 D		*	* Contra	ctor's Re	covery fund	fee is not r	equired for Cl	BC/CIC.
	No	if no, complet	e section 11.B								
Select	-letter Code	Last Name	First Na	me	MI	Years of Exp.	of Exam Date		curity No. or Control No. *	VA Qualifyin License No (if applicable)	. Data
	CBC										
	CIC										
th (٦	his license This section	Wered "no" in Sections: a: a: ation fee. Contractor's	designation, but only	y if your re	equest	includes	other cla	ssification/sp	ecialties. Th	,	
3-letter Code		Last Name	First Name	,	MI	Years of Exp.	Exam Date	Social Secu VA DMV Co		VA Qualifying License No. (if applicable)	Dilli
p		esponsible Manago p, officers/directors n).									
Indiv	vidual's Fu	ıll Legal Name	Title			Add	ress			curity No. or Control No.*	Date of Birth
		Business, Desigrent or expired con If yes, complete		certificati						oonsible Ma	anagement
	Business/Individual I Legal Name			State/Jurisdiction			1	License, Certification or Registration Number		Expiration Date	

Business/Individual Full Legal Name	State/Jurisdiction	License, Certification or Registration Number	Expiration Date	

14.	ever been subject to a <u>disciplinary action</u> taken by <u>any</u> (including Virginia) local, state or national regulatory body? No Yes If yes, complete the <u>Disciplinary Action Reporting Form.</u>
15.	 A. Has this Business, Designated Employee, Qualified Individual(s) or any member of Responsible Management ever been convicted or found guilty, regardless of the manner of adjudication, in any jurisdiction of the United States of any felony? Any plea of nolo contendere shall be considered a conviction. No
	B. Has this Business , Designated Employee , Qualified Individual(s) or any member of Responsible Management ever been convicted or found guilty, regardless of the manner of adjudication, in any jurisdiction of the United States of any <u>misdemeanor</u> ? <i>Any plea of nolo contendere shall be considered a conviction</i> . No Yes If yes, complete the <u>Criminal Conviction Reporting Form</u> .
16.	During the past five years, has your Business , Designated Employee , Qualified Individual(s) , or any member of Responsible Management ever had any <u>outstanding/past-due debts</u> (including child support arrearage); judgments; liens; past due 'unpaid' claims or suits; outstanding tax obligations; defaults on bonds; or pending/past bankruptcies? No Yes If yes, complete the <u>Adverse Financial History Reporting Form</u> .
17.	Do the members of Responsible Management understand that all Class A, Class B and Class C Contractors must comply with the local licensing requirements of all counties, cities and towns in which work is performed? No

By signing this application, you acknowledge that if you are not a Virginia resident, or move outside of Virginia while you hold a Virginia Contractors License, you understand that this application serves as a written power of attorney, whereby you appoint the Director of the Department of Professional and Occupational Regulation, and his/her successors in office, to be your true and lawful agency and attorney-in-fact, in your stead, upon whom all legal process against and notice to you may be served and who is hereby authorized to enter an appearance on your behalf in any case or proceedings arising out of the trade or profession practiced; and that by submitting this application, you hereby agree that any lawful process against you which is duly served on said agent and attorney-in-fact shall be of the same legal force and validity as if served upon you.

- 18. By signing this application, I certify the following statements:
 - I am aware that submitting false information or omitting pertinent or material information in connection with this application will delay processing and may lead to license revocation or denial of license.
 - I will notify the Board of any changes to the information provided in this application prior to receiving the requested license, certification, or registration including, but not limited to any disciplinary action or conviction of a felony or misdemeanor (in any jurisdiction).

- I authorize the Department to verify information concerning me or any statement in this application from any
 person, or any source the department may desire. I also agree to present any credentials or documents
 required or requested by the Department.
- I authorize any federal, state or local government agency, current or former employer, or other individual or business to release information which may be required for a background investigation.
- I have read, understand and complied with all the laws of Virginia related to this profession under the provisions of Title 54.1, Chapter 11, of the *Code of Virginia* and the *Virginia Board for Contractors Regulations*.
- I certify that I am a member of responsible management as defined in 18VAC50-22-10 of the Board for Contractors regulations and am authorized to bind the applicant to contracts and other legal obligations.

Signature of Responsible Management (sole proprietor, partners of a general partnership, managing partner of a limited partnership, officers/directors of an association, managers/members of a limited liability company, or officers of a corporation)

Print Name

Provide either Social Security No. or VA DMV Control No. *

Date of Birth

Signature

Title

Date

(Financial Statement to follow)

Commonwealth of Virginia
Department of Professional and Occupational Regulation
9960 Mayland Drive, Suite 400
Richmond, Virginia 23233-1485
(804) 367-8511
www.dpor.virginia.gov



Board for Contractors FINANCIAL STATEMENT

ONLY <u>CLASS A & CLASS B</u> APPLICANTS ARE REQUIRED TO COMPLETE THIS SECTION FOR THE CONTRACTOR LICENSE APPLICATION.

All applicants are required to furnish proof of financial responsibility. Excluding any property owned as tenants by the entirely, applicants for a Class A license must document a net worth or equity of \$45,000 or more. Class B license must document a net worth or equity of \$15,000 or more. A current financial statement duplicates the information required on this form may be substituted; however the net worth must be entered on lines 10, 20, and 21. The board will accept a CPA 'reviewed' or a completed 'audit' in lieu of this form (Financial Statement). The information reported on the financial statement must not be more than one year old. The corporation must own all assets and liabilities if your application is for a corporation. Effective Balance Sheet as of (MM/DD/YYYY) Contracting Business Name ASSETS Current Assets Cash and Investments 2. Accounts Receivable (Net) 3. 4. Inventories 5. **Prepaid Expenses** 6. Other Current Assets 7. Total Current Assets (sum of lines 2 through 6) 8. Land, Buildings and Equipment (Net) 9. Other Non-Current Assets 10. TOTAL ASSETS (sum of lines 7 through 9) LIABILITIES AND OWNER'S EQUITY 11. Current Liabilities 12. Accounts Payable Current Portion of Long Term Debt (payable within the next 12 months) 13. 14. **Accrued Taxes** 15. **Accrued Payroll** 16. Other Current Liabilities 17. **Total Current Liabilities** (sum of lines 12 through 16) 18. Long-term Debt 19. Other Long-term Liabilities 20. **Total Liabilities** (sum of lines 17 through 19) OWNER'S EQUITY (NET WORTH) (line 10 minus line 20) TOTAL LIABILITIES & OWNER'S EQUITY (sum of lines 20 and 21) 22. Is a substitute Financial Statement attached? No Yes Signature of Financial Statement Preparer To the best of my knowledge, this financial statement accurately represents the firm's financial position as of the date indicated and the current financial position is essentially as good, or better than shown in the furnished statement. Print Name Signature Title