S.D.4.(2017)

COMMONWEALTH OF VIRGINIA STATE CORPORATION COMMISSION DIVISION OF SECURITIES AND RETAIL FRANCHISING

APPLICATION FOR RENEWAL OF REGISTRATION AS AN AGENT OF AN ISSUER (Print or Type)

Last Name	First Name	Middle Name
residing at		, hereby
Street Number		State Zip the Securities Act, and reaffirms his
	(Name of Issuer)	
	(Principal Address of Iss	uer)
The address of the applicant's place the issuer.	ce of business is to be entered	here if other than the principal address of
In order to assist in determining w has been involved in any of the fol		check below whether or not the applicant gistration year.
		YES NO
Has been sued for fraud, Has been adjudicated as Has any unsatisfied judgi Has been denied a regist	bankrupt? ments against him?	
If the issuer is unable to answer a situation is discussed with the Divi		the renewal cannot be processed until the
	ge and promises to notify the	that the information supplied is true and Commission promptly with respect to any
	İssuer	Date
	By (Signature)	Title

INSTRUCTIONS

- 1. **Do not** send separate \$40.00 checks for each agent. Incorporate all fees into one check covering all renewals. Check to be made payable to the Treasurer of Virginia.
- 2. Should an investigation of the applicant be necessary, the Commission may require additional information.
- 3. If the applicant ceases to be connected with the issuer specified in the application, he and the issuer must promptly notify the Commission giving date of termination and advising that the agent's record is either clear or not clear.