Commonwealth of Virginia Department of Professional and Occupational Regulation 9960 Mayland Drive, Suite 400 Richmond, Virginia 23233-1485 (804) 367-8511 www.dpor.virginia.gov



# Board for Contractors LICENSE APPLICATION

#### A check or money order payable to the <u>TREASURER OF VIRGINIA</u>, or a completed <u>credit card insert</u> must be mailed with your application package. APPLICATION FEES ARE NOT REFUNDABLE.

#### FINANCIAL REQUIREMENTS:

Class A & Class B Applicants must provide proof of financial responsibility. Class A applicants must document \$45,000 in net worth/equity. Class B applicants must document \$15,000 in net worth/equity. Applicants who do not meet these requirements <u>may</u> qualify for a Class C license.

| Select the <u>one</u> license type you are requesting. |   |               |                         |  |  |  |  |  |
|--|---|---------------|-------------------------|--|--|--|--|--|
| Type of<br>License                                     | x | Trans<br>Code | Initial<br>License Fee* | Financial Documentation<br>Included (select only <u>one)</u> |  |  |  |  |
| Class A  |   | 1022          | \$385.00                | Financial Statement Form CPA review/audit                    |  |  |  |  |
| Class B  |   | 1021          | \$370.00                | Surety Bond Form   |  |  |  |  |
| Class C  |   | 1020          | \$235.00                | N/A  |  |  |  |  |

\* License fee may be adjusted per designation selection. (See question #12.A.)

#### 1. Business or Sole Proprietor Name

Corporation<sup>•</sup>

A sole proprietor should enter his/her full legal name and the company name should be entered below as the Trade/DBA name. All names must be the same as the name on your government issued ID or organization/business documents.

#### 2. Trade, "Doing Business As" (DBA) or Fictitious Name

- All Sole Proprietorships and General Partnerships with DBA or Fictitious names must attach a copy of the certificate filed with the Clerk of the Court or a copy of your valid business license.
- 3. A. Type of business entity (select only one)
  - □ Sole Proprietorship □ General Partnership □ Solely Owned LLC<sup>◆</sup>
    - Limited Partnership Culture Limited Liability Company

Other, please specify:

<u>Other</u>: Association, Business Trust, Government Agency, Joint Venture, Limited Liability Partnership, Non Profit, Professional Corporation, Professional Limited Liability Company, or Sole Proprietor (Non-Broker Owned)

- B. State Corporation Commission Number:
- If your business is a corporation, limited liability company, or limited partnership, your business/trade name(s) must be registered with the Virginia State Corporation Commission (including all out-of-state businesses). Firm/Businesses shall be organized as business entities under the laws of the Commonwealth of Virginia or otherwise authorized to transact business in Virginia. Firm/Businesses must register any trade or fictitious names with the State Corporation Commission and the clerk of court in the county or jurisdiction where the business is to be conducted. For additional information, contact the SCC at <u>www.scc.virginia.gov</u> or by phone at (804) 371-9733.
- 4. Provide <u>one</u> of the following identification numbers<sup>\*</sup>:
  - Business Federal Employer Identification Number (FEIN)\*
  - Board for Contractor's requires verification from the IRS. (www.irs.gov)
  - Sole Proprietor's/Individual's Social Security Number
  - Virginia Department of Motor Vehicles Control Number
- Federal Employer Identification Number (12-3456789)
- Social Security or Virginia DMV Number (123-45-6789)

(If applicable)

- > Enter the same identification number as used on previous applications or licenses on file with the department.
- \* State law requires every applicant, who is not a sole proprietor or solely owned LLC, to provide a federal employer identification number. Sole proprietor or solely owned LLC who do not have a FEIN must provide a social security number or a control number issued by the Virginia Department of Motor Vehicles.

or

| OFFICE               | DATE   | F | FEE | TRANS CODE | ENTITY # | FI      | LE #/LICENSE # |           | ISSUE DATE          |
|----------------------|--------|---|-----|------------|----------|---------|----------------|-----------|---------------------|
| USE<br>ONLY          |        |   |     |            |          | 2705    |                |           |                     |
| BOARD<br>USE<br>ONLY | SCC    |   | E   | ETS        | CLASS A  | CLASS B | VIRGINIA       |           | TECHNICAL           |
| A501_271             | IC-v10 |   |     |            |          |         | Boa            | ard for ( | Contractors/LIC APE |

| 5. | The mailing address (PO<br>The mailing address<br>printed on the lid | ss will be   |                    |   |                    |                       |  |  |
|----|--|--------------|--------------------|---|--------------------|-----------------------|--|--|
|    | Į.   |              | City               |   | State              | Zip Code              |  |  |
| 6. | Street Address (PO B<br>PHYSICAL ADDR                                | ,            | Check h            | Check here if Street Address is the <u>same</u> as the Mailing Address  |                    |                       |  |  |
|    |  |              | City               |   | State              | Zip Code              |  |  |
| 7. | Contact Numbers  |              |                    |   |                    | •                     |  |  |
|    |  | Primary Tele | phone              | Alternate Telephone   |                    | Fax                   |  |  |
| 8. | Email Address  |              |                    |   |                    |                       |  |  |
|    |  | Email addre  | ss is considered a | public record and will be disclosed upon                                | request from a thi | rd party.             |  |  |
| 9. |  | •            | •                  | ed Individual(s) or Responsible<br>on from any jurisdiction (outside of | •                  | have a <u>current</u> |  |  |

| ľ | AC | ) |
|---|----|---|
|   |    |   |

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Yes 🗌 If yes, complete the following table.

| Business/Individual Full<br>Legal Name | State/<br>Jurisdiction | License, Certification or<br>Registration Number | Expiration Date |  |  |
|--|------------------------|--|-----------------|--|--|
|  |                        |  |                 |  |  |
|  |                        |  |                 |  |  |
|  |                        |  |                 |  |  |

10. List all Responsible Management (sole proprietor, partners of a general partnership, managing partner of a limited partnership, officers/directors of an association, managers/members of a limited liability company, or officers of a corporation):

| Individual's Full Legal Name | Title | Address | Social Security No. or<br>VA DMV Control No.* | Date of<br>Birth |
|------------------------------|-------|---------|---|------------------|
|                              |       |         |   |                  |
|                              |       |         |   |                  |
|                              |       |         |   |                  |
|                              |       |         |   |                  |

Required Documentation: Must attach a legible copy of a government issued photo ID for all members of Responsible Management.

- All business entities applying for a license are required to have a **Designated Employee** or a member of **Responsible** 11. Management complete a board approved pre-license education course approved by the Board of Contractors. Enter the following information for the individual who has successfully completed this requirement.
  - NOTE: Completion of this course cannot be used to substitute the business exams taken by the Designated Employee or Qualified Individual.

| Full Name  | Date of Birth  |
|--|--|
| Provide either Social Security No. or VA DMV Control No. $\stackrel{*}{\cdot}$ : |  |
| Course Date Completed  | Social Security or Virginia DMV Number (123-45-6789) |
| Provider Name  |  |

Required Documentation: Must attach a legible copy of a government issued photo ID for the Designated Employee (if applicable).

- 12. Below is a chart listing the license classifications and specialty designations issued by the Virginia Board for Contractors. A definition of the type of work that each of these classifications and designations may perform is available in the **Board for Contractors Regulations**.
- $\geq$ Each business will select a classification/specialty designation for which they are applying for and provide one Qualified Individual for each designation in section A or B below. The Qualified Individual must meet the following criteria:
  - Must be either a fulltime employee of the business (working a minimum of 30 hours or more with the business) or who is listed as a 1. member of Responsible Management.
  - Must have the minimum years of experience in the classification or specialty they are applying 2 years for a Class C License, 3 years for 2. a Class B License and 5 years for a Class A License. An Experience Verification Form must be submitted for each Qualified Individual who is requesting a designation that requires pre-approval for an examination.
  - 3. Have successfully completed the appropriate prerequisite for the classification or specialty designation selected below. The prerequisite for each is listed in the box below. For more information on these please see the Requirements for the Qualified Individual Form.

### **License Classifications and Specialty Designations**

|            |           | must hold a Certific    | ation for t |           |                  |           |            |           | alt <u>y:</u>     |                   |                        |           |
|------------|-----------|-------------------------|-------------|-----------|------------------|-----------|------------|-----------|-------------------|-------------------|------------------------|-----------|
|            |           | st/explosive            |             | MHC       | Manufact         | ured he   | ome cont   | racting   | RMC               | Radon mitigation  | n                      |           |
| S          | PR Fire   | e sprinkler             |             |           |                  |           |            |           |                   |                   |                        |           |
| A          | pplicants | must hold a valid lie   | cense issu  | ued from  | DPOR fo          | r the f   | ollowing   | designa   | ation:            |                   |                        |           |
| A          | SB Ast    | pestos                  |             | GFC       | Gas fitting      | )         |            |           | PLB               | P <b>l</b> umbing |                        |           |
| A          | SC Aco    | cessibility Services    |             | HVA       | HVAC             |           |            |           | SDS               | Sewage dispos     | al system              |           |
| A          | SL Aco    | cessibility Services wi | th LULA     | LAC       | Lead aba         | tement    | t          |           | WWP               | Water well/pum    | р                      |           |
| E          | LE Ele    | ctrical                 |             | LPG       | Liquefied        | petrole   | eum gas    |           |                   |                   |                        |           |
| E          | EC Ele    | vator/escalator         |             | NGF       | Natural ga       | as fittin | ig provide | er        |                   |                   |                        |           |
| * <u>A</u> | pplicants | are required to be p    | re-approv   | ed and    | pass an e        | kamina    | ation for  | the follo | owing cla         | assification and  | /or specialty:         |           |
| A          | ES Alte   | rnative energy syster   | ns          | FAS       | Fire alarm       | n syste   | ms         |           | BRK               | Masonry           |                        |           |
| P/         | AV Asp    | halt paving & seal co   | ating       | FSP       | Fire supp        | ressior   | า          |           | PTC               | Painting & w      | all covering           |           |
| B          | SC Billb  | ooard/sign              |             | FLR       | Flooring &       | & Floor   | Cover'g    | Contract  | ing RFC           | Recreationa       | facility               |           |
| CI         | BC Cor    | nmercial Building       |             | FRM       | Framing S        | Sub Co    | ontractor  |           | REF               | Refrigeration     | ı                      |           |
| CI         | IC Cor    | nmercial improvemer     | it          | GLZ       | Glass & G        | Blazing   | Contract   | ting      | RBC               | Residential I     | Building               |           |
| CI         | EM Cor    | ocrete                  |             | H/H       | Highway/         | heavy     |            |           | ROC               | Roofing           |                        |           |
| D          | LR Dru    | g, Lab, Remediation     |             | HIC       | Home Im          | oroven    | nent       |           | STL               | Steel Erection    | on Contracting         |           |
|            |           | wall Company            |             | BC        | ndustria         | buildin   | ig contrac | cting     | POL               | Swimming p        | ool construction       |           |
| E          |           | ctronic/communication   |             | INS       | Insulation       | & We      | ather Stri | pping     | TMC               | · · ·             |                        |           |
|            |           | ironmental monitoring   | -           |           | Contracti        | •         |            |           |                   | & Terrazzo (      |                        |           |
| E          | NV Env    | ironmental specialties  |             | ISC       | Landscap         | -         |            |           | UUC               |                   |                        |           |
|            |           | ipment/machinery        |             | LSC       | Landscap         |           | ices       |           |                   |                   | g Contracting          |           |
| FI         |           | m improvement           |             | MCC       | Marine fa        | cility    |            |           | VCC               | Vessel cons       | truction               |           |
| FI         |           | sh Carpentry Contrac    |             |           |                  |           |            |           |                   |                   |                        |           |
|            | * /       | All qualified individ   | uals mus    | t submi   | t an <u>Expe</u> | rience    | e Verifica | ation Fo  | o <u>rm</u> for t | hese designat     | ions.                  |           |
| .re yo     | u applyir | ng for a Commer         | cial Build  | ling Co   | ntractor         | (CBC      | ) classi   | ficatior  | , and/o           | r a Commerc       | ial improvem           | ent (CIC) |
|            |           | no other classific      |             | •         |                  | •         | ,          |           |                   |                   | •                      | , ,       |
| •          | No [      | If no, complete         | •           | •         |                  |           |            |           |                   |                   |                        |           |
| 、          | Yes 🗌     | If yes, complet         | to the foll | lowina    | tahla*.          | (Do r     | not comp   | ato au    | oction #          | 12 B \            |                        |           |
| I          |           |                         |             | •         |                  | •         | •          | •         |                   | ,                 |                        |           |
|            | * Modif   | ication to your app     | lication fe | e is as f |                  |           |            |           |                   |                   | <b>lass C:</b> \$210.0 |           |
|            |           |                         |             |           | **               | Contr     | actor's F  | Recover   | y fund fe         | e is not require  | d for CBC/CIC o        | only.     |
| letter     |           |                         |             |           |                  |           | Years of   | Exam      | Social            | Security No. or   | VA Qualifying          | Birth     |
| Code       | I         | Last Name               | F           | irst Nam  | e                | M         | Exp.       | Date      |                   | IV Control No. *  | License No.            | Date      |
|            |           |                         |             |           |                  |           |            | 24.0      |                   |                   | (if applicable)        |           |
| CBC        |           |                         |             |           |                  |           |            |           |                   |                   |                        |           |

Required Attachment: Complete an Experience Verification Form for each Qualified Individual listed in this table.

Α.

3-Select

CIC

Β. If you answered "no" in Section A, select all the license classification and specialty designations you are requesting for this license:

(This section can include CBC/CIC designation, but only if your request includes other classification/specialties. There is no fee reduction to your application fee. Contractor's Recovery fund fee is required for all other classification/specialty designations.)

| 3-letter<br>Code | Last Name | First Name | M | Years of<br>Exp. | Exam<br>Date | Social Security No. or VA DMV Control No. * | VA Qualifying<br>License No.<br>(if applicable) | Birth<br>Date |
|------------------|-----------|------------|---|------------------|--------------|---|---|---------------|
|                  |           |            |   |                  |              |   |   |               |
|                  |           |            |   |                  |              |   |   |               |
|                  |           |            |   |                  |              |   |   |               |
|                  |           |            |   |                  |              |   |   |               |

Any business requesting a license may have more than one classification or specialty designation.

Required Attachment: Complete an Experience Verification Form for each Qualified Individual who is seeking pre-approval for a designation that requires an examination (only).

13. All Class C applicants, skip to guestion #14.

| All Class A & Class B license applicants must declare a <b>Designated Employee</b> who has successfully completed the    |
|--|
| appropriate business examinations and is either a full-time employee (not a 1099 employee) of the business or a          |
| member of Responsible Management. For licensure information, contact the Board for Contractor's by phone at              |
| 804-367-8511 or email at <u>contractor@dpor.virginia.gov</u> .   |
| <b>Required examinations per class:</b> Class A - Advanced General and Virginia exam. and Class B - General and Virginia |

minations per class: <u>Class A</u> - Advanced, General, and Virginia exam; and <u>Class B</u> - General and Virginia exam.

Complete the following information for the **Designated Employee** of this business:

| Full Name   | Date of Birth   |
|---|---|
| <b>Required Documentation:</b> If the Designated Employee is <u>r</u> a government issued photo ID <u>and</u> provide fulltime employme | not a member of Responsible Management, attach a <u>legible</u> copy of<br>ent verification (I9, W2, or other similar documentation). |
|   |   |

Provide either Social Security No. or VA DMV Control No.\*:

| Social S | ecurity o | r Virginia DI | MV Numb | er (123- | 45-678 | 39) |
|----------|-----------|---------------|---------|----------|--------|-----|

- Exam Date
- 14. Has this Business, Designated Employee, Qualified Individual(s) or Responsible Management ever been subject to a disciplinary action taken by any (including Virginia) local, state or national regulatory body?
  - No 🗌

Yes If yes, complete the Disciplinary Action Reporting Form.

- Has this Business, Designated Employee, Qualified Individual(s) or Responsible Management ever been 15. A. convicted or found guilty, regardless of the manner of adjudication, in any jurisdiction of the United States of any felony? Any plea of nolo contendere shall be considered a conviction.
  - No 🗌

Yes If yes, complete the <u>Criminal Conviction Reporting Form.</u>

- Has this Business, Designated Employee, Qualified Individual(s) or Responsible Management been Β. convicted or found guilty, regardless of the manner of adjudication, in any jurisdiction of the United States of any misdemeanor within the last 3 years? Any plea of nolo contendere shall be considered a conviction.
  - No 🗌

Yes If yes, complete the <u>Criminal Conviction Reporting Form.</u>

During the past five years, has any member of Responsible Management had any outstanding/past-due debts 16. (including child support arrearage); judgments; liens; past due 'unpaid' claims or suits; outstanding tax obligations; defaults on bonds; or pending/past bankruptcies?

No 🗌

Yes If yes, complete the Adverse Financial History Reporting Form

- 17. Do all members of **Responsible Management** understand that all Class A, Class B and Class C Contractors must comply with the local licensing requirements of all counties, cities and towns in which work is performed?
  - No 🔲 IF NO, THIS APPLICATION CANNOT BE PROCESSED.
  - Yes 🗌
- 18. Class A & Class B applicants only:

Does this business/firm meet the minimum net worth/equity requirements? (At least \$15,000 for Class B applicants or \$45,000 for Class A)

- No If no, the firm may qualify for a Class C license.
- Yes If yes, the firm is required to submit a complete (a) Financial Statement Form, (b) CPA review/audit, **OR** (c) Surety Bond Form with this application.

By signing this application, you acknowledge that if you are not a Virginia resident, or move outside of Virginia while you hold a Virginia Contractors License, you understand that this application serves as a written power of attorney, whereby you appoint the Director of the Department of Professional and Occupational Regulation, and his/her successors in office, to be your true and lawful agency and attorney-in-fact, in your stead, upon whom all legal process against and notice to you may be served and who is hereby authorized to enter an appearance on your behalf in any case or proceedings arising out of the trade or profession practiced; and that by submitting this application, you hereby agree that any lawful process against you which is duly served on said agent and attorney-in-fact shall be of the same legal force and validity as if served upon you.

- 19. By signing this application, I certify the following statements:
  - I am aware that submitting false information or omitting pertinent or material information in connection with this application will delay processing and may lead to license revocation or denial of license.
  - I will notify the Board of any changes to the information provided in this application prior to receiving the requested license, certification, or registration including, but not limited to any disciplinary action or conviction of a felony or misdemeanor (in any jurisdiction).
  - I authorize the Department to verify information concerning me or any statement in this application from any person, or any source the department may desire. I also agree to present any credentials or documents required or requested by the Department.
  - I authorize any federal, state or local government agency, current or former employer, or other individual or business to release information which may be required for a background investigation.
  - I have read, understand and complied with all the laws of Virginia related to this profession under the provisions of Title 54.1, Chapter 11, of the Code of Virginia and the Virginia Board for Contractors Regulations.

## Signature(s) of all members of Responsible Management (required):

(sole proprietor, partners of a general partnership, managing partner of a limited partnership, officers/directors of an association, managers/members of a limited liability company, or officers of a corporation)

I certify that I am a member of responsible management as defined in 18VAC50-22-10 of the Board for Contractors regulations and am authorized to bind the applicant to contracts and other legal obligations.

| 1. | Print Name | Title |      |  |
|----|------------|-------|------|--|
|    | Signature  |       | Date |  |
| 2. | Print Name | Title |      |  |
|    | Signature  |       | Date |  |
| 3. | Print Name | Title |      |  |
|    | Signature  |       | Date |  |

|          | 4.   | Print Name                 | Title                                |                             |                         |                           |                            |
|----------|--|----------------------------|--------------------------------------|-----------------------------|-------------------------|---------------------------|----------------------------|
|          |  | Signature                  |                                      |                             |                         |                           | Date                       |
|          |  |                            | (Photocopy this sheet i              | if additional signatures a  | are needed.)            |                           |                            |
|          | <u>Sig</u>   | nature of De               | esignated Employee:                  | (Who are listed Management) | on this application     | and <u>not</u> a          | member of Responsible      |
|          | 1.   | Print Name                 |                                      |                             | Title                   |                           |                            |
|          |  | Signature                  |                                      |                             |                         |                           | Date                       |
|          | <u>Sig</u>   | <u>nature(s) of</u>        |                                      |                             |                         |                           | member of Responsible      |
|          | 1.   | Print Name                 |                                      |                             | Title                   |                           |                            |
|          |  | Signature                  |                                      |                             |                         |                           | Date                       |
|          | 2.   | Print Name                 |                                      |                             | Title                   |                           |                            |
|          |  | Signature                  |                                      |                             |                         |                           | Date                       |
|          |  |                            | (Photocopy this sheet i              | if additional signatures a  | are needed.)            |                           |                            |
| <u>A</u> | ТТАСНМ   | IENTS: (Cheo               | ck all attachments/docur             | mentation included          | with this applicatio    | ר)                        |                            |
|          | Attach a c   | copy of Governm            | nent Issued Photo IDs for ea         | ach member of <b>Respo</b>  | nsible Management,      | Designated En             | nployee, and all Qualified |
|          | Individua  | <b>als l</b> isted on this | application. (Photo must b           | oe legible)                 |                         |                           |                            |
|          | Any <b>Desi</b> g  | gnated Employ              | ee or Qualified Individual           | listed on this application  | on must submit verifica | ation of emp <b>l</b> oyn | nent (I9, W2 or others) if |
|          | <u>not</u> a me  | mber of Respon             | sible Management.                    |                             |                         |                           |                            |
|          | Attached   | documentation v            | verifying business FE <b>I</b> N nun | nber - question #4          |                         |                           |                            |
|          | Completed the Pre-License Education Course taken by Designated Employee or member of Responsible Management - question #11 |                            |                                      |                             |                         |                           |                            |
|          | Qualified  | Individual(s) m            | nust attach a copy of any ce         | ertifications - if required | I - question #12.B.     |                           |                            |
|          | <b>F</b>   |                            | E                                    | Suchificationalisations in  | ha is saching and same  | noval far an avar         | ningtion (anks) norths     |

Experience Verification Form completed for each Qualified Individual who is seeking pre-approval for an examination (only) per the designation requested - questions #12.A or 12.B

Designated Employee completed the business examination? - question #13

All disclosure forms and supporting documentation - questions #14-16

All applicants for Class A or Class B license types must submit ONE of the following: (a) <u>Financial Statement Form</u>, (b) CPA review/audit <u>OR</u> (c) Surety Bond Form. - question #18